Arts and Sciences Senate Tentative Agenda February 18, 2008

- I. Approval of tentative agenda
- II. Approval of minutes of the November 19, 2007 meeting
- III. Revision of minutes of the October 22, 2007 meeting: Item VI. PTC Report
- IV. Report of the College of Arts and Sciences (James Staros)
- V. Discussion of Revisions to the Constitution and By-Laws (Ruth Ben-Zvi)
- VI. President's Report (Cynthia Davidson)
- VII. Old Business
- VIII. New Business

Proposed revision of date change in the minutes of October 22, 2007:

- V. Promotion and Tenure Committee Report (J. Davila)
 - Dr. Goodman protested the decision by the PTC as stated in its report that it would continue to "require all formal written [department] documents....to be included in promotion and tenure dossiers" despite the fact that the A&S Senate specifically rejected that proposed amendment to the PTC Guidelines at its meeting on April 23, 2006. Dr. Davila indicated that the PTC would abide by the A&S Senate decision in this matter.

Proposed revision:

- V. Promotion and Tenure Committee Report (J. Davila)
 - Dr. Goodman protested the decision by the PTC as stated in its report that it would continue to "require all formal written [department] documents....to be included in promotion and tenure dossiers" despite the fact that the A&S Senate specifically rejected that proposed amendment to the PTC Guidelines at its meeting on **February 26, 2007**. Dr. Davila indicated that the PTC would abide by the A&S Senate decision in this matter.

Arts and Sciences Senate Minutes November 19, 2007

The meeting was called to order at 3:35 p.m. by President Cynthia Davidson

I. Approval of agenda: approved

II. Approval of minutes: approved with two corrections.

III. Provost's Report (E. Kaler):

- There are a lot of challenges and opportunities at Stony Brook.
- The quality of the undergraduate body and its diversity are improving.
- Highest budget priority is to find ways to enhance graduate student stipends.
- The academic budget is not as robust as it should be. The new 5-year plan is finalized and it will be used as a benchmark guide to create budget priorities.

Dr. Davidson asked about the faculty survey on the first-year experience. Dr. Kaler: there is a task force devoted to improving the first-year experience for students. The questionnaire is meant to get feedback from faculty members. Dr. Kuchner responded by adding that she is on the subcommittee. They are developing another survey next spring including questions on the grading system for the 102. Dr. Kuchner believes that the A&S Senate is the body for feedback. Dr. Goodman suggested that departmental undergraduate directors be approached.

IV. Report on the College of Arts and Sciences (J. Staros):

- Since last meeting discussed with Provost Kaler the tabled issue of Sr. Lecturers. Reviving talks and will move forward with this by end of semester.
- Dr. Goodman: What about the delay in hiring? Dean Staros: seven searches were approved so there was no change.
- Dr. Davidson: What is happening with the Departmental discretionary budget? Dean Staros: Merit roster has been approved. The actual merit raises should be in December paychecks retroactive from Sept. 1st for regular academic employees.

Dr. Silverman commented that the FRRP committee worked very hard to get this Senate to have a separate committee that vets specifically the promotion of lecturer to Sr. Lecturer. This is now all in place.

V. Faculty Rights and Responsibilities Policy Committee Report (H. Silverman):

- The committee worked with the Dean of Journalism to come up with criteria for promotion and tenure. The School of Journalism has separate conditions.
- Prepared a table on cross departmental secondary academic appointments (regular FT Stony Brook faculty).
- Prepared guidelines for promotion from Lecturer to Sr. Lecturer.
- Worked on the establishment of a Sr. Lecturer promotion review committee (which was approved by the A&S Senate).
- Dr. Silverman went on to explain the Guidelines for Cross-departmental Secondary Academic Appointments for regular FT Stony Brook Faculty (see attached chart).
- One issue the committee (and the Senate Graduate Council) have been discussing is the question of dissertations (whether you are an inside or outside reader of dissertation). If you have a joint title appointment you would always be an inside reader.
- Dean Staros commented that affiliate faculty are voted by secondary appointments.
- Dr. Goodman questioned the actual title of a joint appointment. Does it include the two or more departments? Dr. Silverman: If you held two appointments in Sociology and Psychology, for instance, your title would be Professor of Sociology and Psychology.
- There was discussion regarding budgetary splits and the words "equally" and "primarily"
- Dr. Geeta wanted to know why programs were not included. Dr. Silverman: it should be included. The table should read department or program.

Dr. Davidson moved to have the report accepted. All in favor. The report is accepted.

VI. Report on Southampton (M. Schoonen)

- Southampton has approximately 120 fully matriculated students. Sixty students in Marine Sciences.
- Have a growing MFA program with approximately 40 graduate students. Just received accreditation from the State Education Department.
- Have four new majors. Waiting to hear comments back from Albany.
- The faculty-student center, cafeteria, bookstore, wellness center and student lounge are in operation.

- There are 82 resident students. A third residence hall will come on-line in January 2008.
- Recruiting more faculty members since there will be an increase in student body.
- There will be approximately 400 new students by next fall 2008.
- Dr. Walter: Any transfer policy? Dr. Schoonen: Wouldn't be considered a transfer because the student is already a Stony Brook student.
- Dr. Davidson: Is there any public transportation? Dean Schoonen: Yes, there we have a Biodiesel bus that goes back and forth.
- Dr. Silverman: How do you anticipate sending us a Senator from Southampton? Dr. Schoonen: Would be difficult given the distance? Looking at video conferencing.

VII. Proposed Addition to A&S Constitution: Resolution on Standing Committee Autonomy (C. Davidson)

• Background: This issue came to our attention this year. One of the Standing Committees (Curriculum) had asked us to deal with the situation in which a standing committee felt pressure, not from the academic sector, but other sectors as far as deadlines and making decisions. Dr. Davidson read the proposed resolution:

Proposed Resolution

(Addition to Arts and Sciences Senate Constitution, Section C9)

Academic decisions by the Arts and Sciences Senate's Standing Committees must be based primarily on sound academic principles. When reviewing courses or programs or devising academic policies, the committees should not be asked to consider or accommodate extraacademic issues. Individuals and programs having proposals under review should limit their contact with Committees to informational exchanges. They may request a meeting with the Chair, Executive Secretary, and/or full Committee, and if invited, may meet to clarify issues of an academic nature, but attempts to lobby or pressure the Committee Chair, Executive Secretary, and/or individual committee members, especially by invoking financial or institutional considerations, are inappropriate. Should such attempts occur, members of the Standing Committees shall report them to the Senate Executive Committee for further action.

- Dr. Thomas Kerth stated that when he was on the Curriculum Committee, departments would manage to have one of their faculty members elected to the Curriculum Committee and have an inside push and would shout down any objections. Was unpleasant. Seems the strategy has changed. People now simply pressure the committee as a whole (without being on the committee).
- Dr. Fred Walter feels this proposal should be dropped. It seems if you have a strong chair, he/she should control what the committee is doing. If the Chair feels pressured, he/she certainly has the right to talk to the A&S Senate President or the Executive Committee.
- Dr. Goodman agreed with Dr. Walter. It should be up to the chair and in some cases the Dean.
- Dr. Robert Kerber: In defense of the second sentence in the resolution, it doesn't say the committee <u>cannot</u> consider or accommodate extra-academic issues. It says they <u>cannot</u> be asked to do so. This is a useful statement of our intention.
- Dr. Silverman agrees with Dr. Goodman and Dr. Walter.
- Dr. Valerie Lantz-Gerfoh: What was questioned was the fact that the Curriculum Committee was asked to meet over the summer to pass Southampton courses and if they were going to be paid to do that. The meetings were held, courses passed and they were not paid. Many Journalism courses as well were put on fast-track which also put a great amount of pressure on the Committee. Seems that that the statement needs to be re-

worded to be more explicit.

- Dr. Davidson: It this is going to go into the constitution, it shouldn't refer to this particular issue. It should somehow apply to external pressures put upon all standing committees in general.
- Dr. Goodman: The constitution defines the structure of the organization. It does not define this type of resolution.
- Dr. Frank Myers: We should find some way to provide support for the committees. This resolution is far too detailed. The last sentence is unnecessary. Further action is not even specified. We may be able to find a one sentence formula that would say something like "when a Standing Committee is deliberating, individuals and programs who want to approach them should ask informational questions only".
- Dr. Davidson: Maybe we should take out the beginning and end sentences.
- Dr. Walter was curious as to why the Curriculum Committee didn't feel that they weren't getting any support for the Senate. This did happen over the summer, but the Executive Committee is supposed to be available all summer when issues arise.
- Dr. Georges Fouron: Dr. Tomes did email on this issue. Response was to tell her that he was in a similar situation when PEP was being considered for accreditation. You had to have the documents ready for Pep. This happened in May and people were going on vacation. Dr. McGrath said that this is a compelling and very important subject. We couldn't afford to let Pep lose accreditation. The committee met in the summer and was paid. Southampton curriculum had to be approved for September semester. Felt that the Curriculum Committee should go along with the request, not to pass the courses without looking at them, but to do the job but make sure you get paid for the extra term.
- Dr. Davidson: Will discuss this at next meeting and will revise the proposed resolution.
- Dr. Kuchner: Might have an opportunity to change the behavior. That the clarification of work for governance as appropriate for summer money and how that is going to be established. Do not think there are any guidelines about this. There is no mechanism to negotiate. This would not be a constitution issue.
- Dr. Silverman: Most faculty are on a 10-month salary. Officially we cannot be expected to work for those two summer months (that we do not get paid for). It would be inappropriate to pay members of a committee to work during the summer to perform their function. You can ask faculty to work on a project in some way or another and pay them but not as members of governance. We have to make sure those lines are very clear.
- Dr. Davidson: there are two separate issues here. Summer work for governance and pressure on committees. We will discuss this further at the next meeting.

Dr. Davidson had not President's Report.

VIII. Old Business: No old business.

IX. New Business: No new business.

Adjourned at 5:05 p.m.

Submitted by:

Laurie Theobalt Secretary

Arts & Sciences Senate Constitution Revised April 21, 2003

A. Functions and Conditions of Governance

C. Standing Committees

D. Arts and Sciences Senate Officers

E. The Arts and Sciences Senate Executive Committee

F. The Coordinating Council

G. Procedures for Review and Amendment of Constitution

A. Functions and Conditions of Governance:

1. The Arts and Sciences Senate seeks to represent the interests of the humanities and fine arts, social and behavioral sciences, and natural sciences on the SUNY-Stony Brook Stony Brook University campus.

2. The rules and policies approved by the Arts and Sciences Senate shall govern the academic functions of the College of Arts and Sciences, the Marine Sciences Research Center School of Marine and Atmospheric Sciences, the Division of the Libraries, and the Division of Physical Education and Athletics, School of Journalism, consistent with the Policies of the SUNY Board of Trustees.

3. The Arts and Sciences Senate hall be assisted in the formulation and execution of policy by its Standing Committees and its Executive Committee.

4. All terms of office for Senators and members of Arts and Sciences Senate Standing Committees shall be for three years, except for the one year term of the untenured member on the Promotion and Tenure Committee. Three year terms shall be staggered in three year cycles.

5. Faculty membership in the Arts and Sciences Senate constitutes membership in the University Senate. All faculty representatives to the Arts and Sciences Senate shall also serve as members of the University Senate.

B. Arts and Sciences Senate

1. The Arts and Sciences Senate shall be composed as follows:

a. All faculty representatives from the Arts and Sciences Senate Constituencies (A&SSC), apportioned as follows:

(1) Every department or program having six or more full-time faculty members shall have one representative, the method of selection to be set by the department or program and kept on file at the Arts and Science Senate Office including any updates as revised. The Division of Physical Education and the Division of Libraries shall also elect one senator each.

(2) In addition to departmental and program representatives, an equal number of at-large senators shall be elected by the full faculty from all Arts and Sciences Senate Constituencies(A&SSC). No department or program shall have more than two at-large senators.

(3) State-wide SUNY Senators who are also members of Arts and Sciences Senate Constituencies shall serve as Arts and Sciences Senators as well.

b. Representatives from constituencies other than the faculty:

(1) Professional employees of Stony Brook University as defined in the Arts and Sciences Senate by-laws to a number equal to (fifteen percent (15%) of the total number of faculty senators. XX

(2) Undergraduate student representatives as defined in the Arts and Sciences Senate by-laws to a number equal to (ten percent (10%) of the total number of faculty senators. XX

(3) Graduate student representatives as defined in the Arts and Sciences Senate by laws by laws to a number equal to five percent (5%) of the total number of faculty senators.

c. The ex-officio ex-officio non-voting members shall be the University President, the Provost, the Vice President for Student Affairs, the Associate Provost for Enrollment and Retention Management, the University Registrar, the Dean of the Graduate School, the Dean of the School of Professional Development and Continuing Studies, Dean of Student Affairs, the Dean of Physical Education and Athletics, the Dean of the Libraries, the Dean of Marine Sciences Research Center the School of Marine and Atmospheric Sciences, and the Dean of the College of Arts and Sciences, and the Dean of the School of Journalism.

d. Serving as ex-officio voting members are the Chairs of all Arts and Sciences Standing Committees who are not already faculty senators. The Chairs of all Arts and Sciences Senate Standing Committees shall be expected to attend meetings of the Arts and Sciences Senate.

2. All Arts and Sciences Senators shall also serve as the Arts and Sciences Constituencies (A&SSC), representatives to the University Senate.

3. The Arts and Sciences Senate shall meet at least twice each semester. Regular meetings will be called by the President of the Arts and Sciences Senate. Special meetings may be called either by the President of the Arts and Sciences Senate or by petition to said President of at least ten percent (10%) of the Arts and Sciences Senators.

4. Arts and Sciences Senators representing departments and programs shall report back regularly to their constituencies concerning activities and decisions of the Arts and Sciences Senate.

C. Standing Committees

1. The Standing Committees shall report to the Arts and Sciences Senate annually on committee actions and decision. The Arts and Sciences Senate must review and accept or reject all committee reports. The Standing Committees shall review existing committee guidelines and bylaws at least every three years. Recommendations for revisions shall be reviewed by the Arts and Sciences Senate Executive Committee and presented to the Arts and Science Senate for review and acceptance. With the exception of the Promotion and Tenure Committee, each Standing Committee must regularly report its agendas and minutes to the Arts and Sciences Senate Executive Committee, and these documents shall be available for all members of the University community to examine.

2. The Arts and Sciences Senate shall be assisted by the following Standing Committees:

a. Promotion and Tenure Committee (PTC), which shall make recommendations to the Administration, concerning all promotions or new appointments of faculty to tenure positions (continuing appointments), or at the level of Associate Professor and Professor.

b. Undergraduate Curriculum Committee (CC), which shall have authority over all proposals to introduce, revise, or terminate undergraduate courses, majors, and minors offered in areas in the Arts and Sciences Constituencies A&SSC. It shall review general education requirements and courses. It shall determine the applicability of courses to degree requirements. It shall establish guidelines for cross-listing of courses, for independent study and research courses, for internships, and for undergraduate teaching practica. It shall review and submit to the Arts and Sciences Senate proposals for changes in undergraduate degree requirements, and shall review and approve the content of the College of Arts and Sciences, the Marine Sciences Research Center and the Physical Education and Athletics-A&SSC sections of the Undergraduate Bulletin. It shall participate in the administrative review of departments or programs in which external reviews of undergraduate programs in the College of Arts and Sciences, Marine Sciences Research Center and Physical Education and Athletics A&SSC. are mandated. It may also consider other issues of undergraduate curricular import. The Undergraduate Curriculum Committee shall have a secretary, appointed by the Dean of the College of Arts and Sciences in consultation with the Committee, the Dean of Marine Sciences Research Center and the Dean of Physical Education and Athletics. the Dean of the School of Marine and Atmospheric Sciences and the Dean of the School of Journalism.

c. Committee on Academic Standing and Appeals (CASA), which shall interpret the regulations concerning the academic standing and enrollment of undergraduate students enrolled in majors in the Senate Constituencies A&SSC and consider appeals from individual students. It shall also review these regulations and submit any necessary proposed changes to the Arts and Sciences Senate.

d. Academic Judiciary Committee (AJC), which shall adjudicate and take appropriate action in cases originating in areas of the constituencies of in the Arts and Sciences Senate A&SSC and which involve charges of academic dishonesty by a student, or unfair treatment of a student by a faculty member.

e. Committee of Faculty Rights and Responsibilities Policies Policy Committee (FRRPC), which shall review existing policies, practices and procedures concerning Arts and Sciences A&SSC faculty rights and responsibilities. It shall formulate general guidelines for areas of common concern to fall full time and part –time tenured and non-tenured faculty or groups of faculty so as to assure that the faculty are fully informed of matters that relate to their working relationship with each other, and departmental and university functions, including but not limited to departmental by –laws, cross-disciplinary appointments, options for promotions, and opportunities for continued university involvement by retired faculty. The committee will focus on policies and guidelines but will not address individual personnel cases, nor will it deal with matters that are the due province of the designated faculty bargaining agent.

f. Senior Lecturer Promotion Review Committee (SLPRC) shall review all cases of promotion from Lecturer to Senior Lecturer as recommended by Departments or Programs. Its decisions shall be submitted to the appropriate Dean who makes a recommendation to the Provost and President. The SLPRC will follow specific Guidelines as formulated by the Arts and Sciences Senate Faculty Rights and Responsibilities Policy Committee.

3. The Arts and Sciences Senate Standing Committees shall consist of the following members:

a. The Promotion and Tenure Committee (PTC) shall consist of seven (7) voting members. (1) two elected tenured faculty representatives each from:

(i) the humanities and fine arts;

(ii) the social and behavioral sciences;

(iii) the natural sciences (one from physical sciences or mathematics and one from biological or marine sciences);

(2) one elected non-tenured tenure track faculty representative. No person can be a member of this committee in a year in which his/her candidacy for promotion or continuing appointment will be considered by the committee. If a member becomes such a candidate, s/he must resign from the committee.

b. The Undergraduate Curriculum Committee (CC) shall consist of 10 ten (10) voting members and two four (4) non-voting members:

(1) two elected faculty representatives each from:

(i) the humanities and fine arts;

(ii) the social and behavioral sciences;

(iii) the natural sciences (one from physical sciences or mathematics and one from biological or marine sciences);

(2) one representative appointed by the Dean of the College of Arts and Sciences as a non-voting member.

(3) one representative elected by the professional employees as a voting member under the usual procedures for this purpose.

(4) one professional employee knowledgeable about registration procedures and graduation requirements appointed from the Registrar's Office appointed as a non-voting member. This individual will be appointed annually by the Registrar after consultation with the Curriculum Committee.

(5) one professional employee knowledgeable about both general student concerns and transfer student issues appointed from Enrollment and Retention Management as a non-voting member. This individual will be appointed annually by the Associate Provost for Enrollment and Retention Management after consultation with the Curriculum Committee.

(46) two undergraduate student representatives from the Arts and Sciences Senate Constituencies A&SSC, selected through the usual procedures for this purpose by undergraduate student government

(5 7) one graduate student representative from the Arts and Sciences Senate Constituencies A&SSC, selected through the usual procedures for this purpose by graduate student government

(6 8) the Secretary of the Committee appointed by the Dean of the College of Arts and Sciences in consultation with the Committee, the Dean of Marine Sciences Research Center and the Dean of Physical Education and Athletics Deans of School of Marine and Atmospheric Sciences and the School of Journalism as a non-voting

a. represent the interests of the Arts and Sciences Senate Constituencies as a whole in meetings and discussions with *ex-officio ex-officio* members of the Senate, and at any governance or administrative body of which s/he is a member;

b. chair all meetings of the Arts and Sciences Senate, and its Executive Committee;

c. report to the Arts and Sciences Senate Executive Committee and Arts and Sciences Senate meeting concerning the issues before and actions taken by the <u>ex-officio</u> *ex-officio* members of the Arts and Sciences Senate and serve as ex officio member on Arts and Sciences Senate Standing Committees.

d. represent the Arts and Science Senate at mandated academic reviews or appoint a representative of the Arts and Sciences Senate to these reviews.

e. shall appoint, in consultation with the Executive Committee, committee members for specified short terms in order to maintain full working committees and to insure smooth transitions and term sequences

f. serve as a member of the University Senate Executive Committee

g. agree to serve a one year term of office as Past President upon completion of the term of office as President

3. The Vice-President shall

a. perform the duties of the President when the President is unable to do so

b. serve as President –elect including agreeing to serve as President upon completing a one year term of office as Vice-President

c. serve as a non-voting member of the University Senate Executive Committee

4. The Secretary shall record and prepare minutes of each meeting of the Arts and Sciences Senate and its Executive Committee. The minutes shall reflect all decisions taken and the votes thereon, together with the main points of debate and any written background material distributed at the meeting. The minutes of each meeting will be submitted to the subsequent meeting of the body for correction and approval. The Secretary shall keep on file at the Senate office copies of the minutes, which shall be available to any member of the University community for reference.

5. The Immediate Past President shall

a. serve as a voting member of the Arts and Sciences Senate Executive Committee

b. serve as parliamentarian and provides advice on past practices and procedures

E. The Arts and Sciences Senate Executive Committee

1. The Executive Committee shall consist of the following voting members: ex-officio-ex-officio

(1) the three officers of the Arts and Sciences Senate, the President, the Vice-President who is the President-elect, the Secretary;

Arts & Sciences Senate By-Laws

A. Scope and Definitions

- B. Elections
- C. Procedures
- D. Review and Amendment
- A. Scope and Definitions

1. These By-Laws establish the rules governing operating procedures for the Arts and Sciences Senate.

2. Terms used in the By-Laws and Constitution are defined as follows:

Arts and Sciences SENATE CONSTITUENCIES:

HUMANITIES AND FINE ARTS:

The combination of the College of Arts and Sciences departments or programs of Art, Comparative Studies, English, European Languages, Literatures, and Cultures, Hispanic Languages and Literature, Music, Philosophy, Theater Arts, Writing and Rhetoric, and Women's Studies and the Division of Libraries, and The School of Journalism.

NATURAL SCIENCES:

The combination of the College of Arts and Sciences departments or programs of Biochemistry and Cell Biology, Chemistry, Geosciences, Ecology and Evolution, Mathematics, Neurobiology and Behavior, and Physics and Astronomy and the School of Marine and Atmospheric Sciences.

SOCIAL AND BEHAVIORAL SCIENCES:

The combination of the College of Arts and Sciences departments or programs of Africana Studies, Anthropology, Economics, History, Linguistics, Political Science, Psychology, Sociology, and Social Sciences Interdisciplinary, and the Division of Physical Education and Athletics.

FACULTY: All employees holding full-time academic appointments in one of the Arts and Sciences Senate Constituencies.

GRADUATE STUDENT: All students matriculated in Masters or Doctoral programs in one of the Arts and Sciences Senate Constituencies.

PROFESSIONAL EMPLOYEES: All professional employees in one of the Arts and Sciences Senate Constituencies.

UNDERGRADUATE STUDENTS: All students matriculated for a baccalaureate degree in one of the Arts and Sciences Senate Constituencies.

B. Elections

1. Election of at-large Senate academic members shall be held in April for the coming academic year, together with election of faculty representatives to the Arts and Sciences Senate Standing Committees. At-large Senators shall be elected proportionate to constituency representation. The election will be organized by the Arts and Sciences Senate Executive Committee, which shall secure candidates from the appropriate Senate constituencies, as described in the Constitution of the Arts and Sciences Senate.

All procedures and deadlines for nominations and elections must be circulated to all constituent faculty members no later than two weeks before, and no earlier than four weeks before the close of the nominating period.

2. Nominations for At-Large Academic Senator or faculty representative to the Arts and Sciences Senate may also be made by petition of 10 academic faculty from at least three departments. Petitions should be presented to the Executive Committee of the Senate, through the Secretary. All nominees by petition must appear on the ballot if eligible to serve.

# distribution of the 85 rooms within a particular range, before and after the size recalcuation		range of room size @20 SF								
		10-19	20-29	30-39	40-49	50-59	60-69	70-79	80-81	Grand Total
range of room size with old cap	10-19	0	, 1							0
	20-29	7	18	Č.			0 - 10-000 1			25
	30-39	1	14	8						23
	40-49	1	3	6	6	1	-			17
	50-59			3		4				9
	60-69				1					1
	70-79						4	1	1	6
	80-88					1		3		4
	Grand Total	9	35	17	9	6	4	4	1	85
	change	+9	+10	-6	-8	-3	+3	-2	-3	Ő

% distribution of the 85 rooms within a particular range, before and after the size recalcuation		range of room size @20 SF								
		10-19	20-29	30-39	40-49	50-59	60-69	70-79	80-81	Grand Total
- 646	10-19	0%								0%
range of room size with old cap	20-29	8%	21%				11.7			29%
	30-39	1%	16%	9%						27%
	40-49	1%	4%	7%	7%	1%				20%
	50-59			4%	2%	5%				11%
	60-69		-		1%					1%
	70-79						5%	1%	1%	7%
	80-88					1%		4%		5%
	Grand Total	11%	41%	20%	11%		5%	5%	1%	100%
	change	11%	12%	-7%	-9%	-4%	4%	-2%	-4%	0

Executive Summary

Background

The New York State Commission on Higher Education ("the Commission") was established by Executive Order No. 14 of Governor Eliot Spitzer, dated May 29, 2007. The Governor asked the Commission to broadly examine long-term policy issues facing higher education in the State. The examination included the City University of New York ("CUNY"), the State University of New York ("SUNY"), and New York's private colleges and universities.

The Commission reviewed the reports of all previous higher education commissions in the State, sought the insights of state, national and international experts, received testimony from citizens and leaders throughout the State, and generated in-depth working papers on the issues identified in the Governor's Executive Order. The Commission developed its recommendations through comparative analysis, wherever appropriate, of the policies and practices in seven "peer states," and considered the perspectives of all ten regions of New York State, all communities, and all populations—especially the most vulnerable.

This is the Commission's Preliminary Report. The Final Report will be published before June 1, 2008, after due consideration of reaction from the public, and further consultation with interested parties.

Among the Commission's major recommendations are the following:

- Establish a \$3 billion research fund, the Empire State Innovation Fund, to support meritorious research important to New York's future.
- Create a low-cost student loan program for residents attending college in New York State.
- Establish the New York State Compact for Public Higher Education to clearly delineate shared responsibility for public higher education resources.
- Rebuild CUNY and SUNY faculty ranks by strategically hiring an additional 2,000 full-time faculty, including 250 eminent scholars, over the next five years.
- Modify SUNY's governance structure and system administration to provide more focused attention and support for the research campuses.
- Provide meaningful regulatory relief for SUNY and CUNY, by removing restrictions that impede campuses' ability to adapt quickly and promote quality.
- Develop Educational Partnership Zones in high-need school districts, bringing together higher education and P-12 resources to improve student outcomes and enhance college participation.
- Ensure that high school graduates are well prepared for college through a College Readiness Act.
- Strengthen articulation and transfer throughout SUNY and CUNY with a goal of system-wide articulation of comparable courses and seamless transfer into parallel programs by 2011-12.
- Address the backlog of critical maintenance at SUNY and CUNY with a sustained program of capital reinvestment.

These and all of the recommendations offered in the report focus on building excellence across New York State's higher education system—public and private.

